

RULES OF THE FACULTY OF THE COLLEGE OF MEDICINE

ARTICLE I

PREAMBLE

The College of Medicine is an educational unit of the University. It is constituted by faculty, students and administrative officers with a common interest – to carry out a three-fold mission:

- 1) To provide instruction for:
 - a) predoctoral and postdoctoral students of medicine and the medical sciences;
 - b) students of the health professions and other University students whose curricula include courses taught by College of Medicine faculty.
- 2) To conduct research in the medical and biological sciences and engage in other creative activities in health related areas.
- 3) To provide for the clinical care of patients and furnish the service central to the role of the physician – the prevention, diagnosis and treatment of disease.

In order to accomplish these goals, the College of Medicine recognizes its responsibility to establish its own rules and Council/Committee structure that are necessary for the performance of its mission. The following operational guidelines reflect the College's establishment of policies which provide flexibility within the framework of the Governing Regulations, the Administrative Regulations, the Senate Rules, and the Rules of the Graduate Faculty, and which are still compatible with the unique functions and operations of the College of Medicine.

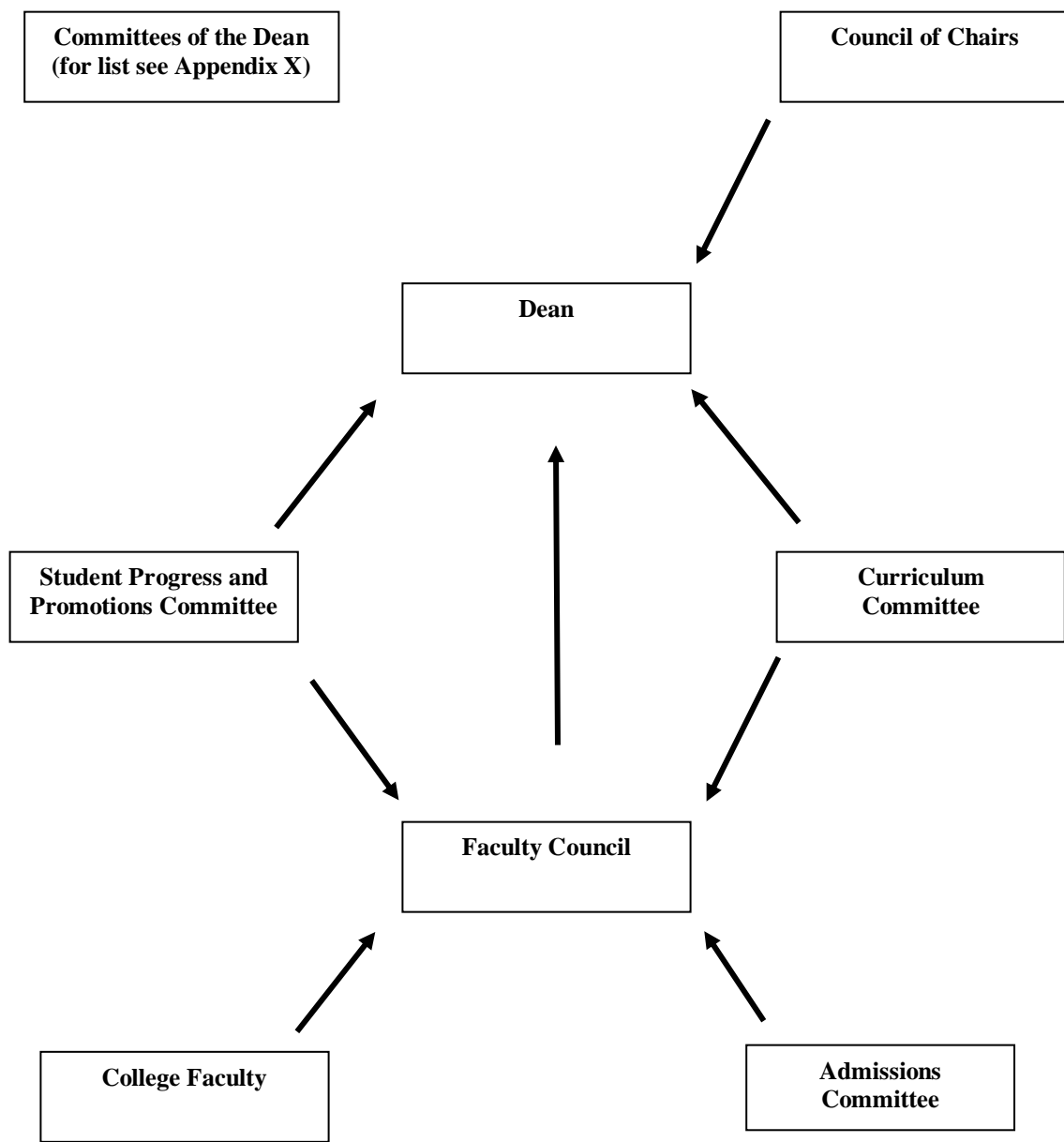
COM Faculty Council approved March 21, 2017

COM Dean approved May 5, 2017

Provost approval June 12, 2017

THE COLLEGE OF MEDICINE

- 1.0 The organizational bodies of the College are the faculty, the Department Faculties, the Faculty Council, the Committees of the Faculty, the Dean and his/her Office, the Council of Chairs, the Committees of the Dean and the Student Advisory Council. An outline of the interaction scheme is shown below:



ARTICLE II

THE COLLEGE FACULTY

1.0 Purpose

- 1.1 The aims and objectives of the Faculty shall be to achieve and maintain excellence in all aspects of medical education, research, service and delivery of health care.

2.0 Functions

The Faculty:

- 2.1 shall assemble at regularly scheduled times to be informed, to discuss and to take action upon matters of concern to faculty members;
- 2.2 shall make recommendations to the University Senate on such matters as require the final approval of that body;
- 2.3 shall determine, within the limits established by the Governing Regulations and University Senate Rules, the educational policies and standards for degrees of the College of Medicine and shall make recommendations on the academic priorities of the College. The informed Faculty will vote on those issues identified by the Faculty Council (see ARTICLE II 3.23 and 3.24).

3.0 Composition, Organizational Structure and Assembly

3.1 Membership:

The Faculty of the College of Medicine shall consist of the Dean, all Assistant and Associate Deans and all personnel having the rank of Instructor, Assistant Professor, Associate Professor, or Professor. The Faculty shall be composed of a voting Faculty and a non-voting Faculty.

- 3.11 The voting Faculty shall consist of the following: the Dean, Associate and Assistant Deans, full-time Professors, Associate Professors, Assistant Professors, and those Instructors who are not enrolled as students in the Medical or Graduate School.

- 3.12 Faculty listed in ARTICLE II 3.11, who hold joint appointments where one of the departments is outside the College of Medicine, must have their primary appointment in the College of Medicine in order to have voting privileges.

- 3.13 The non-voting Faculty shall consist of joint appointment members

excluded by the limitation of ARTICLE II 3.12, less-than-full-time appointees, voluntary faculty, research fellows and employees in the Visiting Title Series. These persons are encouraged to attend Faculty meetings where they shall have the right of the floor but shall not have voting privileges.

- 3.14 Within the eligibility provisions of GR IV.A, GR VII.A.4. (a), AR 2:5, 2:6 and HRPP AR 4.0, elections for the University Senate shall be conducted electronically, using a secure means that provides for secret ballot voting, by and from the full members of the College Faculty.

3.2 Meetings:

3.21 Regular Meetings:

The Faculty shall meet at least three times each year. These and special meetings generally shall be open to students and visitors. The Faculty, however, may vote itself into executive session.

- a. The meeting shall be conducted by the Dean or his/her designate. Traditionally, the Chair of the Faculty Council serves in this role.
- b. In order to promote free and open expression of views and new business, no formal motions or amendments will be recognized until after matters under consideration have been fully discussed. An orderly discussion of the issues will be insured by having reasonable time allocation for each agenda item rather than by the constriction of formal parliamentary procedures. Procedures used when formal motions and amendments are reached shall be those of Robert's Rules of Order.

3.22 Special Meetings:

- a. The Faculty may be called into special session by the Faculty Council, the Dean, the Executive VP for Health Affairs, the Provost or the President of the University.
- b. Special meetings may also be called at the request of ten percent of the voting Faculty. These requests shall be submitted in writing to the Faculty Council.

- 3.23 Minutes of each Faculty meeting shall be made available to the faculty in either written and/or electronic form. A file of minutes will be maintained by the Office of the Dean, on behalf of the Faculty Council.

3.24 Agenda:

- a. The Faculty Council shall develop the items of the agenda. If in the judgment of the Faculty Council, the establishment of a new academic policy or a policy change is of such consequence that the appraisal by the entire faculty is required, the issue shall be presented in the form of an agenda item to the assembled members.
- b. The Chair of the Faculty Council, in consultation with the Dean, will formalize the agenda for the Faculty meetings. One week prior to a regular meeting, the agenda and written descriptions of agenda items requiring faculty vote shall be distributed to all members of the Faculty in either written and/or electronic form.

3.25 Voting:

All voting will be conducted by either a paper or electronic ballot. This format accommodates the size of the Faculty of the College of Medicine as well as facilitates voting by faculty who are unable to attend the general Faculty meetings. Confidentiality will be maintained with both paper and electronic balloting. Approval of policy matters will require two-thirds vote of the faculty voting.

All issues to be considered for a vote by the faculty must be presented at a College of Medicine General Faculty meeting. The faculty should be notified at least 7 days before the scheduled meeting of any issues to be discussed that relate to a subsequent vote. Voting will not occur less than 10 days after the Faculty meeting in which the issue to be voted on was discussed.

3.3 The Faculty Council:

3.31 Function:

- a. The Faculty Council shall be a body of elected delegates that will provide the means by which the Faculty can expeditiously discharge its responsibility to deal with and initiate educational and academic policy matters which transcend departmental responsibilities. Councilors will be the Faculty's voice and liaison with the Dean's Office and the Chair's Council.
- b. The Faculty Council will serve as an avenue by which faculty members can register opinions, views and problems and will provide a channel of communication to the Dean and Chair's Council.
- c. The involvement of the Faculty Council members shall be recognized by the Faculty and Administration as an important, time-consuming activity and, therefore, during their term of office they would be appointed to

Dean's Committees or other University committees only under special circumstances.

3.32 Membership:

- a. The Faculty Council shall consist of twelve elected members: seven from the Clinical Departments and five from the Basic Science Departments. For election purposes, the eighteen departments identified as Clinical are: Anesthesiology, Emergency Medicine, Family and Community Medicine, Internal Medicine, Neurology, Neurosurgery, Obstetrics and Gynecology, Orthopedics, Ophthalmology and Visual Sciences, Otolaryngology, Pathology and Laboratory Medicine, Pediatrics, Physical Medicine and Rehabilitation, Psychiatry, Radiation Medicine, Radiology, Surgery and Urology. The seven departments identified as Basic Science are: Anatomy and Neurobiology, Behavioral Science, Molecular and Cellular Biochemistry, Microbiology, Immunology and Molecular Genetics, Pharmacology and Nutritional Sciences, Physiology, Toxicology and Cancer Biology.
- b. No two members shall be from the same Department.
- c. Members of the Dean's Office and the Chair's Council are not eligible for membership in the Faculty Council.
- d. The term of office shall be three years, and no one may serve consecutive terms.
- e. Every effort shall be made to stagger the term of office for each representative such that no more than 3 clinical department representatives and 2 basic science department representatives are elected annually.
- f. The outgoing Chair will remain a member of the Council in an ex-officio, non-voting capacity to serve as counsel.

3.33 Election:

- a. Elections will be held annually and at a time such that new members are identified by July 1. Newly elected members will assume office on September 1; this will assure continuity throughout the summer months and would provide the opportunity for newly elected members to participate as ex-officio, non-voting, members throughout the summer.
- b. Elections will be conducted in a manner to maintain the representation between Basic Science and Clinical members designated in Section 3.32 a.
- c. Balloting: A ballot with faculty from all departments not having

representation the next academic year will be circulated to all faculty for nominations. Only faculty with primary appointments in departments without representation the next academic year will be eligible for nomination. The two names from each department not having representation on the Faculty Council the next academic year will be eligible for nomination. The two names from each department not having representation on the Faculty Council the next academic year will be forwarded to the Faculty Council who will ask those individuals if they are willing to serve on the Faculty Council. If they are willing to serve, their names will be placed on the final ballot. Two names from each department will be placed on the final ballot, assuming there are two faculty from each department who are willing to serve, if elected. The basic science faculty receiving the highest number of votes will be elected to fill the vacancy or vacancies created by the basic science faculty rotating off the Faculty Council. The clinical science faculty receiving the highest number of votes will be elected to fill the vacancies created by the clinical science faculty rotating off the Faculty Council. There will not be more than one voting faculty member from any one department serving the Faculty Council during the same year.

- d. In the event that a vacancy (longer than 3 months) occurs on the Faculty Council, the remainder of the term will be filled by the faculty member who received the next largest number of votes in the previous election behind those who were elected. The vacancy will be filled such that the ratio of Clinical to Basic Science representation remains constant.

3.34 Officers:

- a. The Faculty Council will select a Chair-Elect by a secret ballot at a meeting in early October of the preceding year who will succeed the Chair on September 1.
- b. When the Chair is a member of a Clinical Department, the Chair-Elect shall be from a Basic Science Department and vice versa.
- c. If at the time for election of a Chair-elect no eligible members of the Faculty Council are willing or able to serve as Faculty Council Chair, then a Chair-elect will be determined in the following order of contingencies:
 - the current Chair, if willing, will continue to serve as Faculty Council Chair until a willing member becomes identified and elected as the new Chair by the Faculty Council.
 - otherwise ineligible persons of the 12 elected Faculty Council members shall be eligible to be elected as Faculty Council Chair to serve for the entire year.

- persons of the 12 elected Faculty Council members shall be eligible to be elected as Faculty Council Chair to serve on a 3 month rotating basis.

3.35 Responsibilities of the Faculty Council:

- a. Develop and recommend educational policy affecting the instruction, research/creative productivity, and service responsibilities of the Faculty. The Faculty Council may utilize the standing committees of the Faculty, subcommittees of the Faculty Council, or ad hoc committee that it appoints, in developing its determinations and recommendations about these academic matters. Any changes or new policy approved by the Faculty Council which is judged by the Faculty Council to require approval of the Faculty shall be included on the agenda of the next scheduled regular Faculty meeting. If approved by the Faculty, the Faculty Council shall apprise the Dean. Faculty Council decisions about academic policy matters which, in the opinion of the Faculty Council, do not require Faculty approval shall be recommended directly to the Dean for implementation.
- b. Study, and when necessary, recommend changes in current practices to the Faculty on all issues dealing with educational and other academic matters, including but not limited to:
 - 1. the curriculum and other educational policies;
 - 2. student admissions criteria and procedures;
 - 3. size of study body;
 - 4. procedures for evaluation and academic promotion of students;
 - 5. standards and criteria required for purposes of awarding degrees (e.g., M.D., and M.D. degree as part of combined degree programs).
 - 6. the quality of the educational programs;

When recommendations about these issues have been submitted to the Faculty Council by Committees of the Faculty, the Faculty Council will study these recommendations and assess their strengths and weaknesses. Except where provided otherwise below, if the Council approves on its academic merits, the recommendation as submitted, the Council will notify the Dean and request that changes be administratively implemented. When a recommendation is not approved, the Faculty Council will notify the Committee and provide an explanation for its action. This notification may include suggestions for strengthening the Committee recommendations.

- c. To review and advise the Dean about policies on Faculty appointments, termination of appointments, promotion, tenure and merit evaluations in conformance with University regulations and individual Departmental policies and regulations (not to involve specific cases).
- d. Serve in a consultative capacity to the Dean.
- e. Serve as a Committee on Rules of the College of Medicine:
 1. Recommend changes in the Rules of the Faculty according to the procedure described in ARTICLE V 2.0.
 2. Make editorial changes in the Rules when necessary for clarification.
 3. Codify Rule changes that are approved by the Faculty.
- f. Function as a Committee on Committees:
 1. Advise the Dean about the Committee structure of the College. There are Committees of the Faculty (Curriculum Committee, Admissions Committee, and Student Progress and Promotions Committee) and Committees of the Dean (Council of Chairs, Student Advisory Council, Committee on Medical Liaison and Continuing Education, Committee on Faculty Appointments, Appeals, Promotions and Tenure, Research and Graduate Education Committee, Financial Aid Committee, International Committee, College of Medicine Clinical Performance (CPX) Committee and a Postdoctoral Advisory Committee).
 2. Review the charges and operations of standing Committees of the Faculty (see ARTICLE II .3.4).
 3. In order to facilitate information exchange and to help the Faculty Council remain abreast of current faculty activities and attitudes, the membership of each Committee of the Faculty shall include one Faculty Council member who will be ex-officio, and without vote. If a Faculty Council member also serves on a Committee of the Faculty, they may be designated as the Faculty Council representative to that committee.
 4. Receive the written and/or electronic minutes of the Committees of the Faculty and reports of committee activities through the Faculty Council representative to these committees. All committee reports and minutes shall be available to any faculty member who wishes to examine them. In his/her responsibility to construct the agenda for the Faculty meetings, the Council Chair may arrange for the Chair of each standing

committee of the Faculty to present a brief oral report directly to the Faculty.

5. Nominate the membership of Committees of the Faculty, and of the Appointment, Appeal, Promotion and Tenure Committee. Each year the Faculty Council will nominate, and with the concurrence of the Faculty Council the Dean will appoint, committee members representing the clinical faculty, the basic science faculty, post-graduate trainees and the medical student body as appropriate. The appointment to the various committees will occur after having determined the individual's willingness to serve on the committees.
6. Nominate the membership of Committees of the Dean. Each year the Faculty Council may be requested by the Dean to nominate, and the Dean will appoint, committee members representing the clinical faculty, the basic science faculty and the medical student body as appropriate. The appointment to the various committees will occur after having determined the faculty member's willingness to serve on the committees.

3.36 Meetings:

- a. The Faculty Council determines how frequently to assemble, but in order to discharge its responsibility it will meet at least monthly on the call of the Chair or upon request of a majority of the members of the Council.
 1. At these sessions the members will share information, clarify data collected from faculty, students, the administration, and the respective committees of which they are members. They will consider the position the Council wishes to take as a group.
 2. Six of the voting members of the Council will constitute a quorum. A member of the Council cannot send a substitute to the meeting.
 3. Minutes of each meeting shall be recorded and distributed to the Faculty Council membership. Copies of approved minutes shall be filed in the Dean's office and or on the Faculty Council web-page for future reference and may be examined by any member of the Faculty.
 4. The Chair, or a majority of the members of the Council, shall have the authority to invite any individual to a meeting.
- b. The Faculty Council shall also meet separately with the Dean.
- c. The Faculty Council shall meet with the Student Advisory Council at the

request of either the Dean, Faculty Council or the Student Advisory Council.

- d. It is incumbent on the Faculty Council to keep the faculty fully informed of its deliberations and activities.
 - 1. The Chair of the Faculty Council shall periodically prepare a summary of the activity and deliberations of the Council for communication with the General Faculty.

3.4 Committees of the Faculty:

The College will be served by committees, which are established to expedite the work of the Faculty. The Faculty Council members who serve in an ex-officio, non-voting capacity, or who are regular committee members and the designated Faculty Council representative, are the liaisons between the standing committees and the Faculty Council as the integrating body. In this spirit, each Committee is encouraged to exchange ideas with the Faculty Council as the issues dealing with their respective charges are being considered.

The Standing Committees of the Faculty are: the Curriculum Committee, the Student Progress and Promotions Committee and the Admissions Committee.

3.41 The Curriculum Committee:

- a. Charge: The Curriculum Committee advises the Faculty Council on broad instructional policy issues and makes recommendations on the curricula of the college. This committee shall:
 - 1. Review and evaluate the global objectives of all medical educational programs of the College;
 - 2. Determine whether educational goals of the College are being met and recommend means by which deficiencies should be corrected;
 - 3. Consider whether resources to achieve the educational mission and objectives of the College are adequate and make recommendations for corrective measures;
 - 4. As needed, recommend changes in the criteria to be used for student admissions;
 - 5. Recommend significant changes in schedules and content of the curriculum, recommend incorporation of innovative teaching methods and improve either vertical or horizontal integration of the curriculum;

6. Review proposals for changes in content, emphasis and approximate time allotment for each course offered. To facilitate this activity, all Directors of required medical degree courses shall submit, as part of the curriculum quality assurance program, an annual written report to the Curriculum Committee in which the educational operating procedures for each required medical degree course are reviewed and in which changes are proposed. Based upon its review of the reports, the Curriculum Committee will recommend curriculum changes to the Dean and Faculty Council;
7. Review the curriculum as a whole and recommend appropriate changes to the Dean and Faculty Council;
8. Examine the teaching methods and student learning environment and determine whether they are appropriate to meet the educational goals of the College.

- b. Curriculum Change Procedures: The Faculty Council will study the recommendation(s) for curriculum changes submitted for implementation by the Curriculum Committee, assess strengths and weaknesses, and notify the Dean and Curriculum Committee of its determinations of the academic merit of each of the recommendations. The Faculty Council's ex-officio representative to the Curriculum Committee has the discretion to act on behalf of the Faculty Council concerning course changes or submissions he/she deems to be minor changes. The ex-officio member shall refer major changes to the entire Faculty Council for discussion. When the Faculty Council determines that a major curricular recommendation does not have sufficient academic merit, the Faculty Council will notify the Curriculum Committee of its suggestions for strengthening the Curriculum Committee's final recommendation to the Dean.

Routine matters related to course administration will be coordinated by the Office of Academic Affairs by interaction with appropriate Course Directors.

- c. Membership:

The Curriculum Committee shall be composed of three basic science faculty, four clinical science faculty, one community-based faculty, a medical student representative from each class, the Associate Dean for Academic Affairs, and the Assistant Dean for Curriculum (as an ex officio member). The Chair will be the Associate Dean for Academic Affairs.

1. Faculty members will be recommended by the Faculty Council and appointed by the Dean after consultation with the appropriate Departmental Chair and Associate Deans.

2. Faculty members will be identified on the basis of their broad, educational perspective and their ability to view the curriculum as an integrated program. Terms of office shall be three years and in order to insure a continuum of activity and ideas, the membership terms will be staggered.
3. The student members are selected by their class. The junior and senior class representatives shall serve as voting members with one vote delegated to the senior if present or the junior class representative if the senior class representative is absent.
4. Standing subcommittees shall consist of the course directors of the various courses of the curriculum. The subcommittees shall be organized as deemed most appropriate and shall report to the Curriculum Committee and advise it.

3.42 The Student Progress and Promotions Committee:

This Committee will have a dual role, being advisory to the Faculty Council as well as the Dean according to the charges identified in 3.42, A and B. The Committee shall meet approximately nine times during the academic year at appropriate times to review, evaluate and recommend action regarding the academic performance of the students. In order to expedite their activities, the Chair may organize subcommittees to meet separately for the purpose of evaluating student progress in the respective year.

A. Charge: Two roles of the Committee are identified:

1. Advisory to the Faculty Council:

- a. The Committee should periodically evaluate and recommend changes in the official College of Medicine criteria, policies and procedures utilized in the evaluation of student academic performance and promotion.
- b. Report the action taken as a result of their deliberations using current College of Medicine promotions criteria.
- c. Identify any general reasons for students' failure to accomplish curriculum goals.
- d. Based upon their experience in evaluating the students' ability to meet academic requirements, the Committee may make recommendations concerning any changes in student admissions criteria.

2. Advisory to the Dean:

- a. Based upon official educational policies and criteria described in the College of Medicine catalog, the Committee shall identify those students having deficiencies and/or minimal passing performances as well as those students who have demonstrated outstanding academic achievements.
- b. Recommend remedies for those students found to be academically deficient and attempt to identify reasons for the individual student's failure to accomplish the curriculum goals.
- c. Recommend on each student's eligibility for promotion, graduation and/or leave of absence.
- d. Evaluate any moral or ethical matters concerning any particular student based upon findings by the Dean or information submitted by faculty. The Committee will obtain all information to determine whether the student's character, mental or physical fitness, personality traits or behavioral characteristics cast doubt upon his/her potential as a physician and recommend to the Dean, appropriate actions to be taken including dismissal of the student from the College of Medicine if the findings warrant this decision.

B. Membership:

Members of the Committee shall be nominated by the Faculty Council and appointed by the Dean after consultation with the appropriate Departmental Chair.

1. The Committee shall be composed of nine faculty, four from the preclinical and five from the clinical faculty regardless of departmental considerations. In general, faculty members of the Committee should be tenured, although there may be exceptions. The Assistant Dean of Student Affairs and a member of the Faculty Council shall be ex-officio members, without vote.
2. Term of office shall be four years and membership should be staggered so that two new appointments will be made annually.
3. The Chair of the Committee shall be appointed by the Dean after consultation with the Faculty Council and may be reappointed annually.

3.43 Admissions Committee

A. Charge

1. Recommend policies, requirements and procedures for admission to the College of Medicine for first year students and those for advanced standing in the educational program for the degree of Doctor of Medicine.
2. Evaluate the qualifications of applicants and recommend those selected for admission to the Dean of the College of Medicine.
3. Evaluate the various tools, criteria and procedures used in the process of appraisal and selection of applicants; study the trends of applications and admissions to medical schools locally, regionally and nationally; engage in recruitment activities and such other appropriate steps as may be useful in obtaining highly qualified medical students who also may be representative of diverse social and cultural backgrounds.
4. Participate, as may be appropriate and feasible, in visits to other colleges and universities, in conferences relating to admission, in career day programs and in other appropriate activities related to recruitment and admissions.
5. Coordinate and guide the activities of faculty members and students who serve as interviewers of prospective students; receive and evaluate interview reports and recommendations of interviewers as a source of information on the suitability of prospective candidates.

B. Membership:

The Committee shall have at least 20 members, the Chair, and the Associate Dean for Admissions. The membership shall be distributed according to the following criteria:

1. Students (each year three third-year students shall be appointed for a two-year term);
2. Community representatives (up to two community representatives will be appointed, at least one of whom will be a community physician);
3. At least fourteen faculty members representing both basic sciences and clinical sciences;
4. The Faculty Council each academic year will either appoint one of its own elected members to the Admissions Committee (if a council member is not already a member of the committee), or provide for the Chair of the Admissions Committee to attend a Faculty Council meeting to report on the activities and concerns of the committee.
5. Faculty members must constitute the majority of voting members at all

meetings.

All members, except for the students, shall serve staggered three-year terms. The Chair shall be a faculty member who has previously served on the committee. If possible, he/she shall serve as Vice-Chair prior to assuming the role of the Chair. The Chair may serve consecutive terms at the request of the Dean. The Chair shall vote only in the case of a tie. The Assistant Dean for Admissions shall be a non-voting, ex-officio member.

4.0 Educational Policy Rule Concerning Supervision of Clinical Activities of Medical Students

4.1 In order to ensure an appropriate **learning environment** for optimum patient and student safety, a College of Medicine faculty member must directly supervise or appropriately delegate supervision of all student clinical activities involving patients. Faculty supervision must include the designation of the clinical setting, level of student involvement, and planned activities that are appropriate for the student's level of training and experience. The faculty member may delegate the direct supervision of the student's activity to an appropriate resident, advanced practice provider, and/or other health care provider. In doing so, the faculty member must ensure that student activities fall within the designated supervising provider's scope of practice. Further, the supervising faculty member must ensure that the supervisor is appropriately trained and credentialed to supervise the student's clinical activities. The necessity of faculty oversight, direction, and supervision, direct or delegated, applies to all patient encounters by medical students, regardless of training level.

4.2 This requirement must be incorporated into the planning and operation of all medical student activities. All courses that include patient interactions must delineate supervision guidelines in compliance with this requirement in the course syllabus. The course or clerkship director will assume primary responsibility for compliance with this requirement. Compliance with this faculty rule will be monitored by the Dean's office. Failure to comply with this requirement will result in suspension of student activities until compliance is ensured.

ARTICLE III

ADMINISTRATION OF THE COLLEGE

THE DEAN

1.0 The Dean is charged with overseeing the educational work of the college and its efficient conduct and management in all matters not specifically charged elsewhere. The dean is authorized to establish and enforce such policies and procedures as are attendant to the administrative management of the operations of the college.

1.1 The Dean is responsible for

- 1.11 Implementation of the curricula of the college,
 - 1.12 Ensuring through the faculty the quality of instruction given therein,
 - 1.13 Assignment of duties to all personnel,
 - 1.14 For the service provided by the faculty of the college, individually and as a whole,
 - 1.15 Review faculty performance evaluations submitted by the department chairs,
 - 1.16 Recommendations on salaries, salary changes, appointments, reappointments, terminal appointments, post-retirement appointments, promotions, and granting of tenure and decisions not to reappoint for members of the college or for ultimate action thereon when such authority has been delegated by the President or the Provost.
 - 1.17 Submission of the budget request for the college and administer the budget when it is approved.
 - 1.18 Enforcement of *the Governing Regulations, the Administrative Regulations, University Senate Rules, Rules of the Graduate Faculty*, and the Rules of the college faculty.
 - 1.19 The Dean is the chair of the college faculty and an ex officio member of all college committees.
- 1.2 The Dean shall speak for the college. In the event that the Dean believes it necessary to depart from recommendations of the college faculty, the Dean shall communicate the college faculty's recommendation as well as the dean's recommendation, stating reasons for differing from the college faculty's opinion, and notify the college faculty of such action.

THE COUNCIL OF CHAIRS

2.0 Purpose

The Council of Chairs is advisory to the Dean and is charged with recommending and reviewing administrative policies and programs.

3.0 Responsibilities

Specific responsibilities are as follows:

- 3.1 Consider and make recommendations to the Dean on matters such as:

- 3.11 the obligations, privileges and status of the faculty;
 - 3.12 the budget planning, i.e., on the acquisition, allocation and management of resources and physical facilities of the College;
 - 3.13 student disciplinary problems as may be referred by the Dean;
 - 3.14 the initiation of new departments and/or centers and their relationship to existing departments and programs;
 - 3.15 policies on departmental review (not to involve specific departmental reviews);
 - 3.16 the relationships of the College of Medicine with other Colleges in the Medical Center, with other units of the University and with agencies, citizen's groups or individuals;
 - 3.17 all other matters, not specifically in the province of the Faculty Council or the Faculty that relate to the educational, patient care and scholarly activities, as well as the research and administration, of the faculty and the College. These recommendations should be made known to the Faculty Council for their consideration and discussion;
 - 3.18 the College of Medicine administrative committee structure, organization and function;
 - 3.19 implementation of the academic standards and criteria for admission, promotion, probation or dismissal of students.
- 3.2 Advise the Dean about the Faculty Council's recommendations.
- 3.3 Recommend potential agenda items for Faculty meetings to the Faculty Council.

4.0 Meetings

- 4.1 The Council of Chairs shall meet regularly, generally monthly and at other times on the call of the Dean or his/her designated representative, or upon request of a majority of the members of the Council.
- 4.2 A notice of the meeting and its agenda and related material shall be distributed to the members reasonably in advance of the meeting.
- 4.3 The Dean or his designated representative will preside.
- 4.4 The Dean, or a majority of the members of the Council, shall have the authority

to invite any individual to the meeting, such as the Hospital Administrator or a University Senate Council member.

- 4.5 The resume of the discussion pertinent to agenda items will be circulated to all members of the Faculty by the Dean.

5.0 Membership

- 5.1 The Council of Chairs will consist of all regular or acting Department Chairs and Center Directors.
- 5.2 All Associate Deans and the Chair of the Faculty Council will serve ex-officio, non-voting.
- 5.3 In the absence of the Chair, Acting Chair or Director, his/her designee may attend the meetings with the approval of the Dean, but will do so without vote.

6.0 Conflict of Interest Committee

- 6.1 Charge: The Conflict of Interest Committee will review requests submitted to the Dean made by College of Medicine faculty members to perform (a) professional or educational outside activities, (b) services on boards, committees, commissions, or the like, (c) speeches or lectures, or (d) oversight, supervision, or other participation with any entity or person involved with health or medical care where the remuneration, fee, compensation, or honoraria exceeds \$5,000 from an individual vendor or organization or \$25,000.00 in the aggregate in a given fiscal year. The outside opportunity must be reviewed by the committee to advise the Dean on a determination of whether a conflict of commitment or a conflict of interest exists, and how much, if any, of the remuneration, fee, compensation, or honoraria must be included in the College of Medicine Practice Plan.
- 6.2 Membership: The Conflict of Interest Committee shall be composed of the Associate Dean for Faculty Affairs, who will chair the committee as a non-voting member, one faculty member appointed by the Dean, two members nominated by Faculty Council, a representative from the Office of Legal Counsel, and a representative from the UK HealthCare Office of Corporate Compliance.

7.0 Outside Consulting by College of Medicine Faculty:

- 7.1 Before a faculty member engages in outside consulting, the faculty member must submit a formal proposal in writing using a copy of Form F to the Department chair for approval as required by AR 3:9. The proposal shall specifically state whether the amount that will be received by the faculty member will exceed \$5,000 with respect to the specific consulting opportunity, or whether the amount that will be received will lead to the faculty member receiving in excess of \$25,000 of consulting revenue in the aggregate for the fiscal year.
- 7.2 Outside consulting may not create a Conflict of Interest or a Conflict of Commitment in violation of GR XIV.

- a. Consulting activities must occur on personal time.
 - b. Faculty members may not cancel clinical activities, including but not limited to scheduled clinics, in order to participate in outside consulting.
- 7.3 The University of Kentucky does not provide liability insurance coverage for faculty performing outside consulting services.
- 7.4 Prior to commencing outside consulting services, the faculty member must submit the consulting agreement to the University of Kentucky Office of Legal Counsel for review.
- a. Faculty members may not enter into consulting agreements that infringe upon the faculty member's or the University's intellectual property rights.
 - b. Faculty members may not enter into consulting agreements that limit their ability to publish or present publicly their research findings.

ARTICLE IV

STUDENT ADVISORY COUNCIL

1.0 Purpose

- 1.1 The Student Advisory Council shall serve as an official forum in which medical students may express their concerns and opinions concerning the conduct of the educational program of the College of Medicine.
- 1.2 The Council will meet monthly during the academic year with the Dean and members of his/her office and with the Faculty Council as requested by the Dean or Student Advisory Council.

2.0 Charge

The Council shall:

- 2.1 make recommendations on issues impacting on the educational programs of the College;
- 2.2 develop recommendations on matters related to student conduct and discipline;
- 2.3 facilitate the development of an appropriate form of responsible and representative student government in the College of Medicine;
- 2.4 serve as a liaison and advisory group to the Dean's Office on problems of student welfare and extracurricular activities;
- 2.5 review proposals for establishing student organizations, including recommendations as to conditions under which they may be organized and policy and procedures for their operation;
- 2.6 evaluate annually the adequacy and effectiveness of the various services of the College of Medicine as they may contribute to student education and general welfare.

3.0 Membership

- 3.1 The Council shall be composed of four elected medical student class Presidents, plus the President of Alpha Omega Alpha, Organization of Student Representatives, American Medical Student Association and Student National Medical Association. Four faculty members will serve as non-voting members.
- 3.11 The Vice President of the respective class or student organization shall serve as Council member in the absence of the class or organization's

President.

3.12 It will be the important responsibility of each student representative to adequately report to his/her constituency on the activity of the Council.

3.13 Faculty representatives:

- a. Faculty representatives will be elected by the students, with the Dean notifying the appropriate Departmental Chair.
- b. Two representatives will be elected from Basic Science Departments and two from Clinical Departments.

ARTICLE V

RATIFICATIONS AND AMENDMENTS

- 1.0 Amendments to Articles I, II, and V
 - 1.1 May be introduced for consideration and discussion as agenda items of a regular or special Faculty meeting by the Faculty Council or by ten percent of the voting faculty;
 - 1.2 Shall be circulated to all voting faculty at least seven days before the meeting;
 - 1.3 Shall be voted upon by mail ballot not earlier than ten days following introduction and discussion in a Faculty meeting;
 - 1.4 Which represent substantive changes in the established Rules, shall require the approval of two-thirds of the voting faculty who ballot.